Mortality Predictive Factors of a Clinical Cohort of Elderly Patients

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Objective. To study the association between the main variables collected in the comprehensive geriatric assessment (CGA) and mortality, in a clinical cohort of elderly people referred from primary care, following standardised criteria, to a geriatric unit.

Design. Retrospective cohort study.

Setting. Outpatient department of a geriatric unit of a hospital in Madrid, Spain.

Participants. A total of 140 patients older than 65 years were followed up for 70 months.

Main measurements. We collected demographic, clinical, functional, and social variables during the CGA carried out by a multidisciplinary team. After 70 months we measured this cohort survival and we analysed the predictive factors for mortality using Cox hazard ratio analysis.

Results. Sixty three patients died after the 70 months of the study, and the survival median was 37 months. In the univariate analysis, age, male gender, diagnosed cancer, COPD, the Katz and Lawton indices, and the Mini-Mental State Examination (MMSE) score of 35 items, were significantly associated with mortality. In the multivariate analysis we found, as predictive factors for mortality: MMSE-35 (HR=0.965; 95% CI, 0.934-0.998; p=0.037); male gender (HR=2.75; 95% CI, 1.6-4.74; p=0.001); Katz score (HR=1.22; 95% CI, 1.04-1.43; p=0.017); Lawton score (HR=0.93; 95% CI, 0.82-1.07; p=0.30).

Conclusion. Cognitive impairment is a mortality predictive factor (HR=0.65), for each point less in the MMSE-35, we observed an increase in mortality risk of 3.5% (1–HR) at 70 months, after adjustment for Katz and Lawton index and gender.

Key words: Elderly. Predictive value of tests. Primary health care. Survival analysis.

Objetivo. Estudiar la asociación entre las principales variables recogidas en la valoración geriátrica exhaustiva (VGE) y la mortalidad en una cohorte clínica de ancianos remitidos desde atención primaria, según criterios protocolizados, a una unidad de geriatría.

Diseño. Estudio de cohorte clínica histórica.

Emplazamiento. Consulta de la unidad de valoración geriátrica de un hospital de Madrid.

Participantes. Un total de 140 pacientes > 65 años seguidos durante 70 meses.

Mediciones principales. Se recogieron variables demográficas, clínicas, funcionales y sociales durante la VGE realizada por un equipo multidisciplinario. Al cabo de 70 meses se valoró la supervivencia de esta cohorte y se analizaron los factores pronósticos de mortalidad mediante la técnica de riesgos proporcionales de Cox.

Resultados. El 45% (n = 63) de los pacientes había fallecido tras los 70 meses del estudio, con una mediana de seguimiento de 37 meses. En el análisis univariable, la edad, el sexo masculino, el diagnóstico de neoplasia o enfermedad pulmonar obstructiva crónica, los índices de Katz y de Lawton y el Mini-Examen Cognoscitivo (MEC-35) se asociaron significativamente con mortalidad. En el análisis multivariable se mantuvieron como variables pronósticas de mortalidad: el MEC-35 (hazard ratio [HR] = 0,965; intervalo de confianza [IC] del 95%, 0,934-0,998; p = 0,037), el sexo masculino (HR = 2,75; IC del 95%, 1,6-4,74; p = 0,001), el índice de Katz (HR = 1,22; IC del 95%, 1,04-1,43; p = 0,017) y el índice de Lawton (HR = 0,93; IC del 95%, 0,82-1,07; p = 0,30).

Conclusión. El deterioro cognitivo es un factor predictor de mortalidad (HR = 0,965), de manera que por cada punto de disminución del MEC-35 el riesgo de mortalidad a los 70 meses, ajustado por el sexo y los índices de Katz y Lawton, aumentará un 3,5% (1 – HR).


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Introduction

The progressive increase in the population >65 years old in our country is, from a public health perspective, a problem of great concern which requires social and health measures to be adopted. These measures must include screening for incapacities and medical, functional, psychiatric and social problems, with the objective of designing a therapeutic and care plan, as well as long term follow-up. This clinical–preventive approach was the idea of the first British geriatricians and has been given many names: multidisciplinary geriatric assessment, integral or exhaustive geriatric assessment and comprehensive geriatric assessment (CGA), this latter one being the most prestigious. Five parameters are studied: organic health or disease, mental function, functional situation, social support, and economic situation.

In short and medium term studies carried out on non-institutionalised elderly people in our country, the relationships between some of the assessments included in the CGA (Katz index and cognitive deterioration) and mortality have been highlighted. However, we have not found any studies where the relationship between these assessments, and others contained in the CGA, and the reduction in survival have been evaluated for more than 5 years. This deficiency should be rectified, since elderly patients take up 50% of the professional time of the primary care doctor, and it is the most suitable field for prevention and early diagnosis.

The objective of this study is to identify and prioritise the aspects of CGA, carried out in a hospital geriatric assessment unit, which may better predict the mortality of our elderly at 70 months, with the purpose of incorporating those aspects, which should enable the most vulnerable patients and those who might benefit more from health interventions to be detected, into the routine work of family doctors.

Patients and Method

A retrospective observational study of cohorts (historical cohort) has been carried out, which included all the elderly patients who were sent to the Geriatric Assessment Unit of the Ramon and Cajal Hospital during the year 1994, if they complied with the following established criteria: the first 3 and any of the other 9 of the points in Table 1.

The progress of all the patients was observed from 1994 to October 1999 (cut-off point for convenience), by using the hospital clinical records. Besides the time of survival in months, at the beginning of follow-up the following qualitative variables were collected using the CGA: gender, cardiac disease, blood pressure, diabetes mellitus, chronic obstructive pulmonary disease (COPD), diseases of the locomotor system which might involve a limitation for the patient, neurological diseases, auditory and ophthalmic diseases which might involve a limitation, neoplasms which might involve a limitation for the patient, neurological diseases, auditory and ophthalmic diseases which might involve a limitation, neoplasms which might involve a limitation, cognitive deterioration, of unknown cause or where the initial intervention has been unsuccessful.

TABLE 1

<table>
<thead>
<tr>
<th>Inclusion Criteria in the Clinical Cohort of Elderly People</th>
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<tbody>
<tr>
<td>Older than 65 years</td>
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<tr>
<td>Resident in Area IV of Madrid</td>
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<td>Capacity to be able to come to the hospital by some means, to carry out the assessment</td>
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<td>The study or management of the patient makes it difficult or impossible with the means available in primary care</td>
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<tr>
<td>Physical or mental deterioration of unknown cause after the initial assessment</td>
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<td>Multiple chronic disease or invalidity, when the interactions between the diseases and capacity would be seriously difficult to manage</td>
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<td>Use of more 5 medications, without being able to reduce this number in any previous attempt</td>
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<tr>
<td>Moderate/severe or progressive malnutrition, of unknown cause or where initial intervention has been unsuccessful</td>
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<tr>
<td>Incapacity to carry out the normal functions of the arms and/or legs, when they are considered rehabilitable</td>
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<tr>
<td>Urine or faecal incontinence, when easily treatable causes are ruled out (urinary infection, faecal impaction,...)</td>
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<tr>
<td>Repeated falls (at least 3 in the last 6 months, or with serious consequences</td>
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<tr>
<td>Social problem which habitually interferes with the health workers</td>
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</tbody>
</table>

General Scheme of the Study

Historical cohort made up of 140 elderly patients with morbidity, referred to geriatrics department during 1 year (1994).
sias, number of medications consumed at the time of being given the geriatric assessment, depression (diagnosed according to DSM IV criteria), living alone, and use of social resources. Likewise, the quantitative variables collected at the beginning of the study were: age, Yesavage scale (this has the detection of depression as its aim and is specifically designed for the elderly patient: in our study we have used his short version of 15 questions) and the Mini Mental State Examination with 35 items (MMSE-35). The Katz and Lawton index variables, which are qualitative ordinals, were categorised as quantitative (for the Katz index: A=1, B=2, C=3, D=4, E=5, F=6, G=7, and for the Lawton: total independence, 8 points, decreasing to 0 points depending on the number of functional limitations), although, for the comparison of the mean results between deaths and survivors non-parametric tests were used (Mann-Whitney U test).

At the end of the follow-up period the data from the final outcome (morbidity and mortality) of these patients were collected by reviewing the primary care clinical histories, computerised records of the hospital and, lastly, by telephone calls to the patients or their families. This data was entered into a database where the variables which could identify the patient were separated, complying with the data protection guidelines.

**Statistical Methods**

A descriptive analysis of each of the study variables was carried out, summarising the qualitative nature using absolute and relative frequency tables, and the quantitative nature using the mean ± standard deviation.

For the comparisons, the χ² test was used for the qualitative variables and the Student t test for the quantitative ones.

With the aim of finding out the independent predictive variables of mortality after 70 months of follow-up, a Cox regression model was constructed, for which the hazard ratio assumption is previously verified using the visual observation of the Kaplan-Meier survival curves and log minus log graphs in the stratified models for each covariable. To select the variables included in the model, a bivariate analysis with all the variables collected in the CGA was performed, making time of survival the dependent variable and the rest covariables. The variables with a level of significance ≤0.20% (male, diabetes mellitus, COPD, neoplasias, Katz, and Lawton indices) are included in the Cox maximum hazard ratio model, where we take the MMSE-35 as the main variable and the rest as control variables. The absence of collinearity of the variables which make up the maximum model is checked by applying a macro designed for this effect in those variables which may have correlation coefficients between them of <0.60.

Using the backward inference method (maximum verisimilitude), controlled manually, the variables with a worse statistical significance are suppressed, provided that, owing to the beta coefficient of the main predictive variable not varying by more than 10%, they may not be considered as confounding. The best possible definitive model is finally obtained. All the data was analysed with the Windows SPSS 10.0 statistics package.

**Results**

In the almost 6 years of follow-up 63 patients died, 51 continued living, and 26 were lost at some time in the study (not able to contact them or their families and/or new records of their outcome were not noted in hospital or in their health centre). The median survival was 57 months and in month 70 the accumulated survival was 45.4%.

From the basal data of the population studied (Table 2) a high frequency of illness emerges, particularly in processes such as depression, cardiac disease, diabetes, and neurological disturbances. At the end of the follow-up period (Table 3) there were significant differences in mortality in male patients, diabetics, those with a Katz index of > A (at least 1 limitation for activities basic for normal daily living [ABDL]) and Lawton ≤2 (dependency in at least 6 activities instrumental for daily living [AIDL]). On the other hand, the proportion of patients with an age greater than the mean (78 years) as well as those with a MMSE-35 score <22 had a higher tendency to die, but did not reach statistical significance (P=.07 and P=.08, respectively). The rest of the basic characteristics and outcomes of the 140 patients are described in Tables 3 and 4, respectively. It should be pointed out that patients who died had a significantly higher mean age of 80.3 years, while those who continued living had a mean age of 75 years.

**TABLE 2**

<table>
<thead>
<tr>
<th>Characteristics of the Patients Referred to the Geriatric Assessment Clinic*</th>
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<tbody>
<tr>
<td><strong>No. of patients</strong></td>
</tr>
<tr>
<td><strong>Age, years, mean±SD</strong></td>
</tr>
<tr>
<td><strong>Male gender, %</strong></td>
</tr>
<tr>
<td><strong>Diseases, %</strong></td>
</tr>
<tr>
<td>Cardiac disease</td>
</tr>
<tr>
<td>High blood pressure</td>
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<tr>
<td>Diabetes</td>
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<tr>
<td>COPD</td>
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<tr>
<td>Osteo-articular diseases</td>
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<tr>
<td>Neurological diseases</td>
</tr>
<tr>
<td>Depression</td>
</tr>
<tr>
<td>Severe auditory and/or vision limitation</td>
</tr>
<tr>
<td>Neoplasias</td>
</tr>
<tr>
<td><strong>Number of medications, mean±SD</strong></td>
</tr>
<tr>
<td><strong>Social situation and use of services, %</strong></td>
</tr>
<tr>
<td>Lives alone</td>
</tr>
<tr>
<td>Uses domiciliary resources</td>
</tr>
<tr>
<td>Uses non-domiciliary resources</td>
</tr>
<tr>
<td><strong>Functional situations</strong></td>
</tr>
<tr>
<td>Dependent for any ABDL, %</td>
</tr>
<tr>
<td>Katz Index, mean±SD</td>
</tr>
<tr>
<td>Dependent for &gt;6 AIDL, %</td>
</tr>
<tr>
<td>Lawton Index, mean±SD</td>
</tr>
<tr>
<td>Cognitive mini-examination, mean±SD</td>
</tr>
<tr>
<td>MMSE-35&lt;22/35, %</td>
</tr>
</tbody>
</table>

*SD indicates standard deviation; COPD, chronic obstructive pulmonary disease; AIDL, activities instrumental for daily living; ABDL, activities basic for daily living; MMSE-35, 35 item mini-examination.
The high prevalence of illnesses such as cardiac disease, diabetes, depression, or neurological complaints can be explained by this being a very select population. On the other hand, the low proportion of elderly with oncology problems, which made up part of the cohort, may be due to the elderly who had cancer mainly being sent to palliative care units or were followed-up by the hospital oncology department. By this same reasoning, it has to be thought that the elderly with cancer in our cohort are those with a better short term prognosis, and this could explain the lack of association in the adjusted model.

We have noted a good correlation ($r=0.625; P=0.001$) between the scores obtained in the Katz and Lawton indices. The Lawton index behaves as a confounding variable, therefore it is obliged to remain in the final Cox regression model.

As a verification of our findings, Figure 1 shows the probability of survival of the patients during the 70 months of the study, and Figure 2 the survival stratified according to the MMSE-35 score (according to the following categories: score ≤16, between 17 and 21, and ≥22), with a level of significance associated to the logarithmic ranges test of 0.004.

### Discussion

The non adjusted hazard ratio (HR) and the significance of the variables considered in the study are set out in Table 5. The neoplasias (HR=2.7), gender (HR=2.2), and COPD (HR=1.8) are highlighted as the variables with a stronger association with mortality. In the multivariate analysis (adjusted HR), the neoplasia and COPD variables lost their statistical significance and left the definitive model, which remained composed of the MMSE-35 variables: score ≤16, between 17 and 21, and ≥22, with a level of significance associated to the logarithmic ranges test of 0.004.
geriatric population in our environment.\textsuperscript{21} Likewise, we have categorised the MMSE-35 as a discrete quantitative variable with the objective of not losing information and quantifying the effect the small variations in scores on the scales had on mortality.

As regards the marked significance of physical dependence on the mortality, our results agree with those obtained by Gambassi et al.,\textsuperscript{18} Nairain et al.,\textsuperscript{22} and other authors.\textsuperscript{4,20,23}

To be male has been shown to be an independent predictive factor, with a mortality risk of approximately twice that of women, in different studies,\textsuperscript{13,20,22,24} and as was obtained in ours (HR=2.75).

Although age may appear as a potential confounding variable on being associated with the risk of death and with the worsening of the MMSE-35 score,\textsuperscript{7,12,18,24} it has not behaved as such, as has also happened in other studies.\textsuperscript{22,25,26} However, other authors found it necessary to adjust for age.\textsuperscript{27} Despite our sample having been consecutive patients attending the Geriatric Assessment Unit, we believe that it can be considered as a non-biased clinical cohort since, on the one hand, it has been made up of the whole population of patients sent to this unit and, on the other hand, the period of inclusion in the study had been 1 year, therefore it has avoided the seasonal nature of certain diseases. For this reason, it should be treated as a non-representative sample of the group of elderly people who live in the area, even though those who present with morbidity and morbidity have to be sent to the Geriatric Assessment Unit according to the criteria in Table 1.

In conclusion, it is observed that, after adjusting for gender, the ABDL (Katz index) and the AIDL (Lawton index), for each one point increase in the MMSE-35 it will decrease the probability of dying by 3.5% (HR=0.965), or, said another way, the times that it is quicker to die in the group exposed to the study phenomenon compared to the non-exposed group. Likewise, an interpretation similar to that of the relative risk is acceptable.
Aten Primaria. 2005;36(9):480-8

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What Is Known About the Subject

- There are no studies referenced in the major literature data bases, where the medium or long term total or partial efficacy of the comprehensive geriatric assessment is evaluated.
- Male gender is an independent predictive factor of mortality (RR=2) in the elderly population.
- There are discrepancies in the literature on the association between cognitive deterioration and mortality.

What This Study Contributes

- After male gender, the deterioration in the activities basic for daily living is the most significant predictive factor in the elderly population.
- Minimal variations in the MMSE-35 predict a significant increase in mortality, adjusted for gender and in activities basic and instrumental for daily living.
- Periodic cognitive assessment of the elderly population with morbility seen in primary care can be a first line tool to identify the more vulnerable patients.

Survival Function

Kaplan-Meier survival graph.

Kaplan-Meier survival graph according to the MMSE-35 values (categorised in: ≤16; 17-21, and ≥22 points). Logarithmic ranges: 15; P=0.0004.

ged at any time. However, we are conscious of the possibility that in normal clinical practice a decrease of one point of the MMSE-35 may be due test-retest variability or to variations occurred due to a change of the assessor, more than true changes in cognitive function. Therefore, it would be more interesting to find out the effect on the mortality of changes of 3, 4, or 5 points in the MMSE-35. To calculate it only requires multiplying the MMSE-35 beta coefficient by the appropriate number (3, 4, or 5) and exponentiate it. The result of this simple operation would tell us that a decrease of 3 points increases the risk of mortality by 10% (HR=0.90), of 4 points by 13% (HR=0.87), and 5 points up to 16% (HR=0.84).

Therefore, small or medium variations in the MMSE-5 predict a significant increase in mortality. For this reason we believe that the cognitive assessment of elderly patients with morbility seen in primary care can constitute a tool of the first order to identify the most vulnerable elderly people and can at the same time help us to plan our clinics, the needs of referral and resources and, in short, to improve the quality of care for our patients.

However, other studies with similar characteristics are necessary in the field of primary care which would give external validity to our findings and to determine what
would be the most appropriate intervals to carry out the cognitive assessment of our elderly.

References


Impact of Functional and Cognitive State on the Mortality of Elderly People Living in the Community

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Key Points

- The functional situation of elderly people who live in the community is a predictor of mortality in the medium short-medium term.
- Primary care must strengthen its role in promoting a healthy old age and the early detection of incapacities to try and reverse them.
- The significance of cognitive deterioration detected by the MMSE in the mortality in the elderly should be made clear in future studies.

Primary care is the level of health care which a greater number of elderly people attend. Its function is to carry out preventive activities to achieve a healthy old age, the early detection of diseases and incapacities, to be able to reverse or stop their progression, and care at the end of life which favours a dignified death. The availability of valid tools which enable the detection, monitoring and prediction of the evolution of their state of health is essential to be able to carry out these functions.

The work of Regal et al in this issue of primary care is particularly interesting in this context, which is the search for tools or diagnostic tests which give information on what may be taking place in an elderly person, depending on their basal condition. The tool evaluated is the comprehensive geriatric assessment, considering the predictive power of mortality in its different components. Their results show that the functional situation of the elderly person and the errors in the Mini-Mental State Examination (MMSE) are the main independent predictors of mortality at 70 months of follow-up.

The functional situation of the elderly is a major determining factor of their capacity to live in the community, their quality of life, their recovery capacity and their mortality in the short term when they are admitted to hospital with serious illnesses. A basic physical situation, expressed as the absence of incapacity for the performance of activities for daily living, is a marker of the "strength" of the patient to confront external problems. And this effect is independent of age. On the other hand, the functional incapacity appears to behave as an indicator of a situation of general debility which, independent of the morbility, is a predictor of mortality, in the medium term, in people who live in the community. The results of Regal et al corroborate previous observations originating from another longitudinal study carried out in our country and with a cohort of elderly people with a different state of health. The repeated observation confirms that it is not a casual finding. The consequences of these observations are obvious: it must be acted on, to delay, where possible, the appearance of incapacities. And for this reason, the activity of primary care, as a promoter of a healthy old age and the early detection and intervention of functional disturbances, is fundamental.

The studies available, however, do not offer a prediction of mortality in the short term, as this is also important in the care of incapacitated elderly people. The diagnostic and therapeutic decisions when faced with illnesses must be based on the knowledge of the possibilities of one result or another depending on the functional situation of the elderly person. Other investigations, centred on patients with deterioration of two or more basic functions of daily living, are required to find out the impact of these deficiencies in the mortality at 3, 6, or 12 months. Also, in these studies it should be evaluated whether the predictive capacity of mortality in the very short term of a functional problem continues to be independent of the type of illness. The results of Regal et al agree with those obtained by other authors as regards the influence of cognitive deterioration and, in particular, Alzheimer-type dementia.
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(A_TD) on the mortality in the short-medium term in elderly people. In the study by Regal et al\(^2\), however, it highlights the predictive capacity independent of the MMSE scores when these represent “losses” as regards the normal score. The interpretation of these findings pose some difficulty. The robustness of the prediction contrasts with the limitations of the tool and the interpretation of their results. The influence of gender, cultural level, and training for re-examining on the diagnostic performance of the MMSE and its variations are known. These factors would change the predictive capacity, in the same person, only by obtaining more or less points in the MMSE. It would be more logical to consider that the MMSE scores are really predictors as regards pointing out particular grades of severity in cognitive deterioration, and ATD, which are clearly associated with mortality in the medium to long term of the elderly. The results obtained by Regal et al\(^2\) however, lead to the interesting observation in that minimal cognitive changes, expressed as subtle losses of points in the MMSE normal range, can already have a predictive value of mortality at 70 months follow-up. It could be that the short neurophysical examination which the MMSE provides, may have the capacity to detect variations, considered up until now within normal, which show up a situation of general debility which determines a poor prognosis. It is known that the central nervous system is particularly sensitive in the elderly and in its function the repercussions of inadequate function of other organs are expressed. The MMSE could then behave as a “major” variable in which the influences of debilitating diseases are expressed in the mortality. The results should also help when considering the interpretations of what is the change and the normality in the MMSE scores.

The investigation by Regal et al\(^2\) validates the previous opinions on the importance of the functional diagnosis in the elderly and the early diagnosis of dementia in primary care. However, it should be remembered that there is no suitable evidence available which guarantees the generalised use of the comprehensive geriatric assessment in primary care.

**References**