Comparison of bronchoscopic bronchoalveolar lavage vs blind lavage with a modified nasogastric tube in the etiologic diagnosis of ventilator-associated pneumonia

A. LEO, J. GALINDO-GALINDO, E. FOLCH, A. GUERRERO, F. BOSQUES, R. MERCADO AND A.C. ARROLIGA

*Hospital Universitario Dr. José Eleuterio González. Monterrey, México.
†Department of Pulmonary, Allergy and Critical Care Medicine. The Cleveland Clinic. Cleveland. Ohio. USA.
‡Division of Pulmonary and Critical Care Medicine. Scott & White Hospital. Temple. Texas. USA.

Objective. Our objective was to compare the results of a blind lavage vs a bronchoscopic-guided bronchoalveolar lavage for the etiologic diagnosis of ventilator-associated pneumonia (VAP).

Design. Prospective study in consecutive patients with high probability of VAP. Every patient underwent both procedures, in a formally randomized fashion. The interpretation of quantitative cultures was done in a blind fashion.

Setting. Single center study, with a 20 bed medical and surgical Intensive Care Unit of the University Hospital in Monterrey, Mexico.

Patients. Twenty-five patients with high probability of VAP.

Interventions. Every patient underwent blind bronchoalveolar lavage with a modified nasogastric tube, and a bronchoscopic-guided bronchoalveolar lavage.

Results. Twenty-one patients underwent both procedures. Four patients were excluded due to contamination of the cultures. The quantitative cultures were compared in a paired fashion. Only two patients had discordant cultures. The correlation coefficient between the number of colonies was very high, \( r = 0.90 \) (95% confidence interval [CI], 0.77-0.96; \( p = 0.0001 \)).

Conclusions. The blind bronchoalveolar lavage with a modified nasogastric tube is a valuable tool for the identification of etiologic agent in VAP, particularly when trained bronchoscopists or the necessary resources for bronchoscopic-guided bronchoalveolar lavage are not readily available.

KEY WORDS: ventilator-associated pneumonia, bronchoalveolar lavage, nasogastric tube, blind bronchoalveolar lavage.

COMPARACIÓN DE LAVADO BRONCOALVEOLAR BRONCOSCÓPICO FRENTE A LAVADO CIEGO CON SONDA NASOGÁSTRICA MODIFICADA EN EL DIAGNÓSTICO ETIOLÓGICO DE NEUMONÍA ASOCIADA A VENTILADOR

Objetivo. Nuestro objetivo fue el de comparar los resultados de un lavado ciego frente a un lavado broncoalveolar guiado con broncoscopio para el diagnóstico etiológico de neumonía asociada a ventilador (NAV).

Diseño. Estudio prospectivo en pacientes consecutivos con alta probabilidad de NAV. En todos los pacientes se llevaron a cabo ambos procedimientos de manera aleatorizada. La interpretación de los cultivos cuantitativos fue hecha a ciegas.

Ámbito. Estudio en un único centro, en una Unidad de Cuidados Intensivos Quirúrgicos con 20 camas del Hospital Universitario de Monterrey, en México.

Pacientes. Veinticinco pacientes con alta probabilidad de NAV.

Intervenciones. A cada paciente se le realizó un lavado broncoalveolar ciego con una sonda na-
sogástrica modificada y un lavado broncoalveolar guiado con broncoscopia.

**Resultados.** Se realizaron ambos procedimien-
tos en 21 pacientes. Cuatro fueron excluidos de
bido a contaminación de los cultivos. Los cultivos
cuantitativos fueron comparados en pares. Solo
dos pacientes tenían cultivos discordantes. El co-
eficiente de correlación entre el número de colo-
nias fue muy alto, \( r = 0.90 \) (intervalo de confianza
[IC] del 95% 0.77-0.96; \( p = 0.0001 \)).

**Conclusiones.** El lavado broncoalveolar ciego
con sonda nasogástrica modificada es una herra-
mienta de mucho valor para la identificación del
agente etiológico en NAV, especialmente cuando
un broncoscopista experto o los recursos nece-
sarios para lavado broncoalveolar guiado con
broncoscopia no están fácilmente disponibles.

**PALABRAS CLAVE:** neumonía asociada a ventilador, lavado
broncoalveolar, sonda nasogástrica, lavado broncoalveolar ciego.

**INTRODUCTION**

Ventilator-associated pneumonia (VAP) is a major
medical problem. VAP is associated with longer stay
in the Intensive Care Unit (ICU) and in the hospital,
high cost, and high mortality rates\(^4,5\). The clinical di-
agnosis of VAP that includes radiographic changes and
at least one clinical finding such as fever, leuko-
cytosis, or purulent tracheal aspirate has a good sen-
sitivity but poor specificity\(^4\). It has been recom-
ended that a sample of the lower respiratory tract should
be obtained when the clinical diagnoses of VAP is
suspected\(^6\). Invasive diagnostic testing may increase
the confidence of the clinician in the diagnosis and
management of the VAP and because of the good
negative predictive value thus allowing discontinua-
tion of antibiotic therapy\(^6\).

Quantitative cultures of specimens taken with
bronchoscopic techniques or through blind non-
bronchoscopic techniques are appealing alternatives for
the collection of lower respiratory tract specimens\(^4\).
A strategy of diagnosis that includes obtaining a sample
by bronchoscopic technique is associated with a lower
mortality at day 14 and a decrease in antibiotic use\(^6\).
However, bronchoscopy may not be readily avail-
able\(^6\), especially in countries with limited resources,
therefore diagnostic testing with non-bronchoscopic
techniques and early use of broad-spectrum antibi-
otics are attractive options in order to minimize cost,
antibiotic use, as well as improve survival\(^6\).

The hypothesis of the study is that the isolated bac-
teria and quantitative cultures would be similar in the
fluid obtained with both techniques. The goal of this
study was to compare the microbiologic findings in
lower airway lavage through a non-bronchoscopic
technique using a modified nasogastric tube\(^6\) against
a fiberoptic bronchoscope-directed bronchoalveolar
lavage (BAL) in the same patient.

**PATIENTS AND METHODS**

We prospectively studied 25 patients with suspec-
ted VAP in the Medical and Surgical ICU at Hospital
Universitario Dr. José Eleuterio González between
June 1, 2005 and June 1, 2006. This hospital is a ma-
jor teaching hospital in Monterrey, Mexico. The unit
has 20 beds, staffed by residents in Internal Medicine,
Fellows in Pulmonary and Critical Care, and Attending
Intensive Care specialists. The ratio of patients
per nurses is 2:1.

Patients were eligible for the study if they met the
following inclusion criteria: ≥ 18 year-old, intubated
for more than 48 hours, who met the clinical defini-
tion for VAP. Clinical definition of VAP refers to
new infiltrates in the chest X-ray with ≥ 2 of the fol-
lowing: fever or hypothermia (≤ 35° C or ≥ 38.3° C),
leukocytosis or leukopenia (≥ 12,000/dl or ≤ 4,000/dl),
purulent secretions in the endotracheal tube (ETT), and/or poor oxygenation (PaO\(_2/\text{FiO}_2 ≤ 240\) mmHg\(^6\)). Patients were excluded if they had a
contraindication for bronchoscopy (for example se-
vere hypoxemia PaO\(_2/\text{FiO}_2 < 100\) mmHg), refractory
cogulopathy (prothrombin time and partial throm-
boplastin time > twice the upper limit of normal non-
responsive to 10 cc/kg of fresh frozen plasma [FFP]),
or hemodinamically unstable at time of bronchoscopy
(mean arterial pressure [MAP] < 60 mmHg).

The study was approved by the Institutional
Review Board, and informed consent was obtained
from the patient or next of kin.

The following characteristics were recorded
prospectively at the time of ICU admission: age, sex,
APACHE II score\(^11\), main diagnosis and comorbidities,
clinical pulmonary infection score (CPIS)\(^11\), previous
antibiotics, concurrent extrapulmonary infections, and
radiologic findings. The CPIS and APACHE II
scores were calculated again on the day of the study.
Every study participant underwent two procedures:

1. **Blind BAL with a modified nasogastric tube** (non-bronchoscopic).
2. **BAL using a standard fiberoptic bronchoscope.**

   The modification in the nasogastric tube consists
   in the cutting of the tip of the catheter to remove the
   area with multiple holes\(^6\). We randomly determined
   which procedure was done first. The procedures were
   performed 20 minutes apart for stabilization purposes.

   Patients were sedated and preoxygenated while on
   continuous pulse oxymetry monitoring. For the non-
   bronchoscopic procedure, a 14F nasogastric tube was
   slowly introduced through an adaptor (Portex®,
   Keene, New Hampshire, USA) into the ETT, until re-
   sistance was felt. Three aliquots of 50 ml of sterile
   0.9% saline were instilled sequentially, and with-
   drawn by manual suction with a 50 ml catheter-tip
   piston syringe. The first aspirate was discarded. The
   remaining two aspirates were processed in the
   Microbiology laboratory. In the bronchoscopic pro-
   cedure, after tracheal aspiration, the fiberoptic bron-
   choscope (Pentax®, Orangeburg, New York, USA)
   was introduced into the ETT via the ETT adaptor and
   positioned («wedged») in the orifice of the sampling
TABLE 1. Clinical characteristics of study subjects

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Mean ± SD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, year (range)</td>
<td>42 (17-82)</td>
</tr>
<tr>
<td>Sex (male)</td>
<td>14</td>
</tr>
<tr>
<td>APACHE II on admission</td>
<td>13 ± 7</td>
</tr>
<tr>
<td>APACHE II at time of procedure</td>
<td>15 ± 8</td>
</tr>
<tr>
<td>PaO2/FiO2</td>
<td>213 ± 81</td>
</tr>
<tr>
<td>CPIS on the day of study</td>
<td>7.5 ± 1.54</td>
</tr>
<tr>
<td>Days intubated</td>
<td>8 ± 4</td>
</tr>
<tr>
<td>Temperature (º C)</td>
<td>38.1 ± 0.81</td>
</tr>
<tr>
<td>White blood cell count (x10^9/l)</td>
<td>16 ± 9.1</td>
</tr>
<tr>
<td>Radiologic pattern</td>
<td></td>
</tr>
<tr>
<td>Diffuse</td>
<td>14 (66%)</td>
</tr>
<tr>
<td>Focal</td>
<td>7 (33%)</td>
</tr>
</tbody>
</table>

CPIS: Clinical Pulmonary Infection Score; SD: standard deviation.

area with sequential instillation of three aliquots of 50 ml of sterile 0.9% saline. The first aspirate was discarded, and remaining fluid was sent to the Microbiology laboratory. No aspiration was done through the working channel of the bronchoscope before the collection of samples in order to minimize contamination.

The specimens were immediately sent to the laboratory and processed according to previously described methods by Baselski. The samples were centrifuged for 30 seconds, a Gram stain was done searching for intracellular organisms. The bacterial cultures were processed with microorganisms quantified by an experienced microbiologist using standard serial dilution and the results were expressed as colony-forming units (cfu/ml). The cut-off point for significant growth was 10^2 cfu/ml for both procedures. The microbiologist reading the cultures was blinded for which procedure was used and for the result of the corresponding sample.

Statistical analysis

Descriptive statistics were used, with all comparisons being paired, and all tests of significance two-tailed. All values are expressed as the mean ± standard deviation (SD). Sensitivity, specificity, positive predictive value, negative predictive value, and likelihood ratios were determined considering fiberoptic bronchoscopy (FOB) with BAL as the gold standard. Correlation coefficients were also calculated.

RESULTS

Twenty-five eligible patients were included in the study, with 4 patients being excluded from analysis due to contamination of microbiology cultures. The 21 subjects (14 men and 7 women) had a mean age of 42 years-old (range 17-82), with mean APACHE II score of 15 (± 8) and a CPIS of 7.5 on the day of the procedures (table 1). The reasons for hospital admission were pneumonia (5), trauma (4), sepsis (2), stroke (1), burns (1), severe pancreatitis (1), eclampsia (1), brain tumor (1), empyema (1), aortic aneurysm (1), pulmonary hemorrhage (1), hypovolemic shock (1), and respiratory failure (1). All patients were intubated, and 15 of 21 had been receiving antibiotics for the reason that prompted their admission to the ICU.

Every patient underwent both bronchoscopic and non-bronchoscopic procedures. The mean volume of fluid recovered from lavage was 42 ± 8 ml in the bronchoscopic technique and 40 ± 10 ml in the non-bronchoscopic technique. With the bronchoscopic technique, significant growth was found in 66.7% (n = 14) of the samples and non-significant growth in 33.3% (n = 7). With the non-bronchoscopic technique significant growth was found in 71.4% (n = 15) of the samples, non-significant growth in 28.6% (n = 6) (table 2 and 3).

The quantitative cultures obtained through either technique are shown in table 3. Only two patients had discordant cultures, the non-bronchoscopic technique failed to provide quantitative evidence of infection in one case, and it identified a second organism in another patient, that was not isolated with the bronchoscopic technique.

The most common isolated organisms were *Staphylococcus aureus*, *Acinetobacter baumannii*, and *Pseudomonas aeruginosa* with polymicrobial infection present in 16 (76.2%) cases, and single organism infection present in 14.3% of the cases. The Spearman’s coefficient of rank correlation (r) for number of colonies showed a positive correlation at 0.90 (confidence interval [CI] 0.77-0.96; p = 0.0001) between the two techniques. The sensitivity of the non-bronchoscopic technique was 93%, and the specificity was 85% when compared to the bronchoscopic-guided bronchoalveolar lavage (table 4). We calculated the likelihood ratios or how many times more likely patients with the disease are to have that particular result than patients without the disease. The positive and negative likelihood ratios showed strong evidence to rule in or out the presence of VAP in this group of patients. The procedures were tolerated well with no episodes of desaturation below 88% with either technique.

DISCUSSION

In this single center, prospective study we demonstrated the excellent operating characteristics of a non-bronchoscopic BAL technique using a nasogastric tube compared with the frequently cited fiberoptic bronchoscope-guided BAL.

There is currently no gold standard for the diagnosis of VAP and clinicians rely on clinical and bacteriologic strategies to manage patients with VAP. The bacteriologic strategy uses quantitative cultures of lower respiratory secretions and has been associated with less use of antibiotics. In a seminal paper, Fagon et al showed that an invasive strategy using FOB with quantitative cultures improves survival. The qualitative cultures obtained through either technique are shown in table 3. Only two patients had discordant cultures, the non-bronchoscopic technique failed to provide quantitative evidence of infection in one case, and it identified a second organism in another patient, that was not isolated with the bronchoscopic technique.

The most common isolated organisms were *Staphylococcus aureus*, *Acinetobacter baumannii*, and *Pseudomonas aeruginosa* with polymicrobial infection present in 16 (76.2%) cases, and single organism infection present in 14.3% of the cases. The Spearman’s coefficient of rank correlation (r) for number of colonies showed a positive correlation at 0.90 (confidence interval [CI] 0.77-0.96; p = 0.0001) between the two techniques. The sensitivity of the non-bronchoscopic technique was 93%, and the specificity was 85% when compared to the bronchoscopic-guided bronchoalveolar lavage (table 4). We calculated the likelihood ratios or how many times more likely patients with the disease are to have that particular result than patients without the disease. The positive and negative likelihood ratios showed strong evidence to rule in or out the presence of VAP in this group of patients. The procedures were tolerated well with no episodes of desaturation below 88% with either technique.

The quantitative cultures obtained through either technique are shown in table 3. Only two patients had discordant cultures, the non-bronchoscopic technique failed to provide quantitative evidence of infection in one case, and it identified a second organism in another patient, that was not isolated with the bronchoscopic technique.

The most common isolated organisms were *Staphylococcus aureus*, *Acinetobacter baumannii*, and *Pseudomonas aeruginosa* with polymicrobial infection present in 16 (76.2%) cases, and single organism infection present in 14.3% of the cases. The Spearman’s coefficient of rank correlation (r) for number of colonies showed a positive correlation at 0.90 (confidence interval [CI] 0.77-0.96; p = 0.0001) between the two techniques. The sensitivity of the non-bronchoscopic technique was 93%, and the specificity was 85% when compared to the bronchoscopic-guided bronchoalveolar lavage (table 4). We calculated the likelihood ratios or how many times more likely patients with the disease are to have that particular result than patients without the disease. The positive and negative likelihood ratios showed strong evidence to rule in or out the presence of VAP in this group of patients. The procedures were tolerated well with no episodes of desaturation below 88% with either technique.

DISCUSSION

In this single center, prospective study we demonstrated the excellent operating characteristics of a non-bronchoscopic BAL technique using a nasogastric tube compared with the frequently cited fiberoptic bronchoscope-guided BAL.

There is currently no gold standard for the diagnosis of VAP and clinicians rely on clinical and bacteriologic strategies to manage patients with VAP. The bacteriologic strategy uses quantitative cultures of lower respiratory secretions and has been associated with less use of antibiotics. In a seminal paper, Fagon et al showed that an invasive strategy using FOB with quantitative cultures improves survival (14 days), and decreases antibiotic use. Even though the bronchoscopically-guided BAL has several advantages, the most important being the ability to direct...
sampling into the desired lobe, it is important to emphasize its limitations in resource constrained settings. Fiberoptic bronchoscopes and qualified operators are not always readily available, thus potentially delaying pathogen-directed treatment with its harmful consequences\textsuperscript{17,18}. Previous reports of «blind» invasive procedures have yielded conflicting evidence, mostly because of variable methodologies, different thresholds of the quantitative studies, and reference standards\textsuperscript{11,19-26}. Minutoli et al reported in the late 1980s the use of a nasogastric tube to do bronchoalveolar lavages in patients with the acquired immunodeficiency syndrome\textsuperscript{9}. We extended their experience using this technique to obtain distal airway sample for bacterial cultures of patients with high clinical suspicion of VAP.

In an attempt to standardize a technique that should be simple, widely available, inexpensive, and with low risk of complications, we analyzed the performance of the nasogastric tube with quantitative cultures side-by-side with the bronchoscopy-directed BAL in the same patient with excellent results. By using the exact same lavage volume, quantitative threshold, and discarding the first aspirate, we ob-

### TABLE 2. Comparison of culture results for bronchoscopic and non-bronchoscopic procedures in patients with significant growth (> 10\(^4\) cfu)

<table>
<thead>
<tr>
<th>Patient</th>
<th>Diagnosis</th>
<th>Non-bronchoscopic (blind)</th>
<th>Bronchoscopic</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Pneumonia</td>
<td>Pseudomonas aeruginosa</td>
<td>Pseudomonas aeruginosa</td>
</tr>
<tr>
<td>3</td>
<td>Brainstem tumor</td>
<td>Acinetobacter baumannii</td>
<td>Acinetobacter baumannii</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Escherichia coli</td>
<td>Escherichia coli</td>
</tr>
<tr>
<td>4</td>
<td>Pneumonia</td>
<td>Staphylococcus aureus</td>
<td>Staphylococcus aureus</td>
</tr>
<tr>
<td>6</td>
<td>Severe pancreatitis</td>
<td>Pseudomonas aeruginosa</td>
<td>Pseudomonas aeruginosa*</td>
</tr>
<tr>
<td>7</td>
<td>Burns and pneumonitis</td>
<td>Pseudomonas aeruginosa</td>
<td>Pseudomonas aeruginosa</td>
</tr>
<tr>
<td>8</td>
<td>Abdominal aortic aneurysm</td>
<td>Acinetobacter baumannii</td>
<td>Acinetobacter baumannii</td>
</tr>
<tr>
<td>9</td>
<td>Pneumonia</td>
<td>Staphylococcus aureus</td>
<td>Staphylococcus aureus</td>
</tr>
<tr>
<td>10</td>
<td>Pulmonary hemorrhage</td>
<td>Acinetobacter baumannii</td>
<td>Acinetobacter baumannii</td>
</tr>
<tr>
<td>11</td>
<td>Hypovolemic shock</td>
<td>Citrobacter freundii</td>
<td>Citrobacter freundii</td>
</tr>
<tr>
<td>12</td>
<td>Sepsis</td>
<td>Staphylococcus aureus</td>
<td>Staphylococcus aureus</td>
</tr>
<tr>
<td>14</td>
<td>Pneumonia</td>
<td>Pseudomonas aeruginosa</td>
<td>Pseudomonas aeruginosa</td>
</tr>
<tr>
<td>15</td>
<td>Sepsis</td>
<td>Staphylococcus aureus</td>
<td>Staphylococcus aureus</td>
</tr>
<tr>
<td>16</td>
<td>Trauma</td>
<td>Staphylococcus aureus</td>
<td>Staphylococcus aureus</td>
</tr>
<tr>
<td>19</td>
<td>Trauma</td>
<td>Staphylococcus aureus</td>
<td>Staphylococcus aureus</td>
</tr>
<tr>
<td>20</td>
<td>Trauma</td>
<td>Pseudomonas aeruginosa</td>
<td>Staphylococcus aureus</td>
</tr>
</tbody>
</table>

*The bronchoscopic technique showed growth below the threshold (< 10\(^4\) cfu) and was considered negative. cfu: colony-forming units.

### TABLE 3. Comparison of culture results for bronchoscopic and non-bronchoscopic procedures in patients with non-significant growth (< 10\(^4\) cfu)

<table>
<thead>
<tr>
<th>Patient</th>
<th>Diagnosis</th>
<th>Non-bronchoscopic (blind)</th>
<th>Bronchoscopic</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>HAP</td>
<td>Acinetobacter baumannii</td>
<td>Acinetobacter baumannii</td>
</tr>
<tr>
<td>5</td>
<td>Eclampsia</td>
<td>Pseudomonas putida</td>
<td>Pseudomonas putida</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Enterobacter cloacae</td>
<td>Enterobacter cloacae</td>
</tr>
<tr>
<td>13</td>
<td>Respiratory acidosis</td>
<td>Normal flora</td>
<td>Normal flora</td>
</tr>
<tr>
<td>17</td>
<td>Trauma</td>
<td>No growth</td>
<td>No growth</td>
</tr>
<tr>
<td>18</td>
<td>Empyema</td>
<td>Klebsiella pneumoniae</td>
<td>Klebsiella pneumoniae</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Acinetobacter baumannii</td>
<td>Acinetobacter baumannii</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Staphylococcus aureus</td>
<td>Staphylococcus aureus</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Enterobacter aerogenes</td>
<td>Enterobacter aerogenes</td>
</tr>
<tr>
<td>21</td>
<td>Stroke</td>
<td>Normal flora</td>
<td>Normal flora</td>
</tr>
</tbody>
</table>

cfu: colony-forming units; HAP: hospital-acquired pneumonia.
tained an excellent correlation of results between the two techniques. Furthermore, by using the same patient, and randomizing which technique to use first, as well as blinding the laboratory technician reading the cultures, we minimized bias. Our study also suggested that VAP is a diffuse disease involving multiple lobes, and samples obtained blindly have a comparable performance to FOB-guided samples.4,23,24 Furthermore, histology-based reports suggest VAP is predominantly a dependent lung segment disease24 where is more likely that a nasogastric tube will go. Because the nasogastric tube has roughly the same size as a fiberoptic bronchoscope and unable to reach peripheral sections of the lung, we avoided complications such as pneumothorax.

Our study has several limitations, the two most important being that like any single center study, its results may not be generalizable to other settings. The second limitation of the study is the small sample size did not allow for subgroup analysis for specific admission diagnosis. However, the main objective of the study was to compare the microbiologic findings of the two techniques and we achieved that objective.

The results of our study have important implications in the care of patients with VAP in resource-constrained settings, where the availability of bronchoscopes to confirm the diagnosis of VAP is limited.

We believe this innovative and simple technique should be validated in larger clinical trials, where antibiotic use, organ dysfunction improvement, and ultimately survival should be used as outcome measures.

Declaration of conflict of interest
All the authors reported no conflict of interest.

REFERENCES


